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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/052,788

11/08/2001

Laurel J. Gershwin

02307O-121000US

20350

TOWNSEND AND TOWNSEND AND CRETTWO EMBARCADERO CENTER

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SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 3215

FORMALITIES LETTER

OC00000007492471

Date Mailed: 02/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact: 05/01/2002 KZEWDIE 00000068 201430 10052788

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- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
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A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 02307O-121000US Client Reference No.: 2001-512

Assistant Commissioner for Patents

Attn: Box Missing Parts Washington, D.C. 20231

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on april 19, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Ja an Planike Dollars

COPY OF PAPERS ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GERSHWIN et al.

Application No.: 10/052,788

Filed: November 8, 2001

For: EPSILON IMMUNOGLOBULIN CHAIN DERIVED PEPTIDES FOR INDUCTION OF ANTI-IgE

ANTIBODIES

Examiner:

Not yet assigned

Art Unit:

1641

TRANSMITTAL LETTER -

RESPONSE TO NOTICE OF MISSING

PARTS

Attn: Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated February 19, 2002, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration with Application Data Sheet (supplemental);
- 2) Power of Attorney by Assignee and Exclusion of Inventors under 37 CFR § 3.71;
- 3) Sequence Listing and Diskette were sent to Box Sequence on 4-12-02 (not enclosed); and
- 4) Copy of Notice of Missing Parts.

GERSHWIN et al. Application No.: 10/052,788

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COPY OF PAPERS

Please charge Deposit Account No. 20-1430 for the following fees:

Small Entity:

(a) Filing Fee (§ 1.16(a)) (Small Entity)

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(b) Excess Claims Fees (§ 1.16(b), (c)):

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(c) Missing Parts Surcharge

\$65.00

TOTAL FEES TO BE CHARGED

\$65.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Kevin L. Bastian Reg. No. 34,774

Customer No. 20350

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